

STATE OF CONNECTICUT

OFFICE OF THE SECRETARY OF THE STATE

Form B-4 Rev. 07/05

<input type="checkbox"/> INITIAL STATEMENT (MO., DAY, YR.)	Do Not Mark In This Space For Official Use Only
<input type="checkbox"/> AMENDED STATEMENT DATE OF CHANGE (MO., DAY, YEAR)	

**CERTIFICATION OF EXEMPTION FROM
FORMING A CANDIDATE COMMITTEE Section 9-333f(b), C.G.S.**

1. ELECTION DATE	2. PRIMARY DATE
3. OFFICE SOUGHT	4. DISTRICT NO. (if applicable)
5. CANDIDATE (Name, Complete Address, Zip)	
6. Telephone	
7. PARTY AFFILIATION <input type="checkbox"/> Republican <input type="checkbox"/> Democrat Other _____	

1. ELECTION DATE: Provide the election date for which the candidacy relates.
2. PRIMARY DATE: Provide the primary date, if applicable.
3. OFFICE SOUGHT: Provide the name of the office or position sought.
4. DISTRICT NO.: Provide, if applicable, the district number of office sought.
5. CANDIDATE: Provide the full name and complete address of the candidate: street number, town, state and zip code.
6. CANDIDATE'S PHONE: Provide the candidate's phone number; including the area code.
7. PARTY AFFILIATION: Check the appropriate box.

I hereby certify that I am exempt from forming a candidate committee because:

(Check the Applicable Box)

- ☐ I am one of a slate of candidates whose campaigns are being funded solely by a town committee or a political committee formed for a single election or primary and expenditures made on my behalf will be reported by the committee sponsoring my candidacy. The name of this committee is: _____

OR

- ☐ I am funding my campaign entirely from my own personal funds and will not request or receive contributions from other individuals or committees and I understand that if I make expenditures exceeding \$1,000. that I shall be responsible for filing financial disclosure statements according to the same schedule and in the same manner as required of treasurers of candidate committees.

- ☐ I do not intend to receive or expend funds in excess of \$1,000.

OR

- ☐ I do not intend to receive or expend any funds, including personal funds, for this campaign.

Signature of Candidate

Date

Important Notice: Failure of a candidate to designate either candidate committee and file "Registration of Candidate Committee" Form ED-49 or qualify for and file "Certification of Exemption from forming a Candidate Committee" Form B-4, within 10 days of becoming a Candidate, will subject the candidate to a mandatory \$100 fine.

Form B-4
GENERAL INSTRUCTIONS

Each candidate must either designate a single candidate committee and file Form ED-49 OR file this certification, (Form B-4) claiming an exemption from the requirement. To claim an exemption, a candidate must file this certification with the proper authority within ten (10) days of becoming a candidate.

If the qualifying condition checked for this certification changes and the candidate is eligible for another exemption, the candidate must file an amendment to the certification indicating the new reason within 3 days of the change in circumstance. If the candidate no longer qualifies for an exemption, a candidate committee registration statement (Form ED-49) must be filed within such time.

Effect of Filing Certification: Filing the certification eliminates the requirement to register a candidate committee, establish a bank account and appoint a treasurer.

Responsibilities of Candidate: The candidate must:

- (1) Complete, sign and file this certification of exemption or the Registration of Candidate Committee (Form ED-49) with the proper authority (see below). The candidate is responsible for the accuracy of the information submitted and must be able to substantiate his claim for the exemption.
- (2) Keep records of campaign contributions and expenditures if the reason claimed is (2) or (3) above. These records must be provided to the candidate's treasurer if there is a change in the circumstances requiring the candidate to register his own candidate committee.
- (3) If the candidate has claimed reason (2) on the preceding page and made expenditures exceeding \$1,000, the candidate must file financial disclosure statements as though a committee was created and must do so in the manner and in accordance with the same schedule of filings as a treasurer of a candidate committee.

Where To File Certification:

With the Secretary of the State: (Campaign Finance Section, 30 Trinity Street, P.O. Box 150470, Hartford, CT 06115-0470): Candidates for the office of Governor, Lieutenant Governor, Secretary of the State, Treasurer, Comptroller, Attorney General, Judge of Probate, State Senator or State Representative.

With the Municipal Clerk: Candidates for any town, city or borough office and any candidate involved in a primary of the position of town committee member must file only with the clerk of the municipality holding the election or primary.

If you have any questions regarding deadlines for filing or if you need additional forms, please call the Campaign Finance Section of the Office of the Secretary of the State at (860) 509-6101 or your municipal clerk.

If you have questions pertaining to interpretations of the campaign finance law or the completion of any campaign finance form, please call the State Elections Enforcement Commission at (860) 566-1776.